

01/28/99
jc571 U.S. PTO

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Date of Deposit: JAN 28 1999

Carol Austin
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09/238444
01/28/99

PTO/SB/05 (6-95)
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PATENT APPLICATION TRANSMITTAL LETTER		Docket Number (Optional)		
To the Commissioner of Patents and Trademarks: Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 CFR 1.53(b)(1) is the patent application of <u>Vernon E. Gleasman and Warren R. Alexander</u> entitled <u>SPOOL VALVE FOR FLUID CONTROL</u>				
Enclosed are: <input checked="" type="checkbox"/> <u>21</u> pages of written description, claims and abstract. <input checked="" type="checkbox"/> <u>9</u> sheets of drawings. <input checked="" type="checkbox"/> an assignment of the invention to <u>TORVEC, Inc.</u> <input checked="" type="checkbox"/> executed declaration of the inventors. <input type="checkbox"/> a certified copy of a _____ application. <input checked="" type="checkbox"/> associate power of attorney. <input checked="" type="checkbox"/> a verified statement to establish small entity status under 37 CFR 1.9 and 1.27. <input type="checkbox"/> information disclosure statement <input type="checkbox"/> preliminary amendment <input type="checkbox"/> other: _____				
CLAIMS AS FILED				
	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE (37 CFR 1.16(a))			\$ 760	\$ 760
TOTAL CLAIMS (37 CFR 1.16(c))	18 - 20 =	* 0	x \$ 18	0
INDEPENDENT CLAIMS (37 CFR 1.16(b))	2 - 3 =	* 0	x \$ 78	0
MULTIPLE DEPENDENT CLAIM PRESENT	(37 CFR 1.16(d))		\$	
* NUMBER EXTRA MUST BE ZERO OR LARGER			TOTAL	\$ 760
If applicant has small entity status under 37 CFR 1.9 and 1.27, then divide total fee by 2, and enter amount here.			SMALL ENTITY TOTAL	\$ 380
<input checked="" type="checkbox"/> A check in the amount of \$ <u>380.00</u> to cover the filing fee is enclosed.				
<input type="checkbox"/> The Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. I have enclosed a duplicate copy of this sheet. <input type="checkbox"/> Charge the amount of \$ _____ as filing fee. <input type="checkbox"/> Credit any overpayment. <input type="checkbox"/> Charge any additional filing fees required under 37 CFR 1.16 and 1.17. <input type="checkbox"/> Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).				
<u>JAN 28 1999</u> Date		<u>Morton A. Polster</u> Signature Morton A. Polster, Reg. #20,960 Typed or printed name <u>Eugene Stephens & Associates</u> Address <u>56 Windsor Street</u> <u>Rochester, New York 14605</u>		

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.